

November 24, 2003

**By Hand**

Examiner Amelia A. Owens  
Art Unit 1625  
U.S. Patent and Trademark Office  
Alexandria, VA 22313-1450

Re: U.S. Patent Application Serial No. 10/437,768  
Of: Jie Wu et al.  
For: *4-Thio Coumarins*  
Our Ref: 12253/10

RECEIVED  
TECH CENTER 1600/2300  
03 NOV 25 PM 9:10

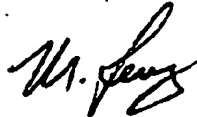
Dear Examiner Owens:

Enclosed is an Information Disclosure Statement and the references cited therein for the above-identified application as we discussed by telephone. Applicants express their gratitude for the Examiner's consideration of this submission following the Notice of Allowance of September 29, 2003.

After you have reviewed the references submitted, you may reach me by telephone at 212-908-6221 to discuss.

Very truly yours,

KENYON & KENYON



Michael K. Levy

**Enclosures**



# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)  
770.00

## Complete if Known

Application Number	09/657,883
Filing Date	September 8, 2000
First Named Inventor	Ralph W. Blakemore
Examiner Name	Dwayne J. White
Group/Art Unit	3745
Attorney Docket No.	6097P022

## METHOD OF PAYMENT (check all that apply)

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account

Deposit Account Number  
02-2666

Deposit Account Name  
Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES

Total Claims:  20 =  Extra Claims x  Fee from below =  Fee Paid  
Independent Claims:  3 =  x  =   
Multiple Dependent:  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*or number previously paid, if greater. For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	1,210	2255	605	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)  
770.00

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TECHNOLOGY CENTER R3700

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Michael J. Mallie	Registration No. (Attorney/Agent)	36,591	Telephone	(408) 720-8300
Signature		Date	11/21/03		

Based on PTO/SB/17 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 08/11/2003.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Copied from 10161364 on 05/19/2004

J. G.  
adde  
pers.

Application No: 09/657,883 Filing Date: 09/08/2000 BSTZ Docket #: 6087.P022 mjm/cw/ Atty/Sec:  
Date Mailed: 11/21/2001 Docket Due Date(s): 11/21/2001 Client: GE POWER SYSTEMS  
Title WIND TURBINE RING/SIROUD DRIVE SYSTEM

Inventor(s) Blakemore

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |  |
|--|--|
| <input type="checkbox"/> Amendment: ( <u>  </u> pgs)                         | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08 ( <u>  </u> pgs)       |
| <input type="checkbox"/> Appeal Brief & two copies ( <u>  </u> pgs)          | <input type="checkbox"/> Issue Fee Transmittal (original & copy)                             |
| <input type="checkbox"/> Application: ( <u>  </u> pages w/ cover & abstract) | <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)              |
| <input type="checkbox"/> Application Amended to Reflect Claim of Priority    | <input type="checkbox"/> Notice of Appeal  |
| <input type="checkbox"/> Assignment & Cover Sheet ( <u>  </u> pgs)           | <input type="checkbox"/> Petition for: <u>  </u>   |
| <input checked="" type="checkbox"/> Certificate of Mailing                   | <input checked="" type="checkbox"/> Request for Continued Examination (RCE)                  |
| <input type="checkbox"/> Continued Prosecution Application (CPA)             | <input type="checkbox"/> Reply Brief ( <u>  </u> pgs)  |
| <input type="checkbox"/> Declaration & POA ( <u>  </u> pgs)                  | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request                  |
| <input type="checkbox"/> Drawings: <u>  </u> sheets, <u>  </u> figures       | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter            |
| <input type="checkbox"/> Express Mail No: <u>  </u>                          | <input type="checkbox"/> Terminal Disclaimer   |
| <input type="checkbox"/> Extension of Time: <u>  </u>                        | <input type="checkbox"/> Transmittal of Formal Drawings                                      |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy)        | <input type="checkbox"/> Transmittal of Publication Fee Due                                  |
| <input checked="" type="checkbox"/> Other <u>Return Postcard</u>             | <input type="checkbox"/> Transmittal Letter  |
|  | <input checked="" type="checkbox"/> Check No. <u>      </u> in the Amount of <u>\$770.00</u> |
|  | <input type="checkbox"/> Check No. <u>      </u> in the Amount of <u>      </u>              |

SV

Assignee: General Electric Company

GE POWER SYSTEMS INCORPORATED  
A PARTNERSHIP INCLUDING GE CORPORATION

61393

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November 21, 2003

SEVEN HUNDRED SEVENTY & NO/100

770.00

Director of the United States  
Patent & Trademark Office

*W. Portner*

061393 121137522 1890 67366 6

Invoice: 11/21/2003 Amount: 770.00  
006097.P022 - GE Power Systems  
MJM/amq  
Request for Continued Examination fee  
for "Wind Turbine Ring/Shroud DriveSystem"  
App. No.: 09/657,883  
Filed: 9/8/00  
Inv.: Ralph W. Blakemore

6139